



Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REPOSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

APPLICANTS: Novosel et al. GROUP ART UNIT: 2308
SERIAL NO.: 08/851,200 EXAMINER:
DATE FILED: May 5, 1997 ATTY DOCKET NO.: P97,1046
INVENTION: "SOUND RECORDING AND REPRODUCTION SYSTEM"

Asst. Commissioner of Patents
Washington, D.C. 20231

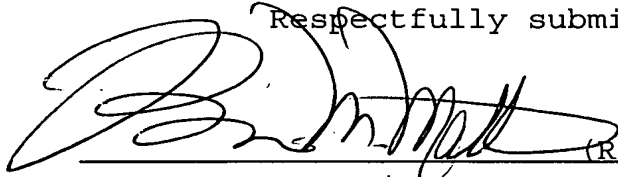
S I R:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, a copy of which is attached hereto, Applicants submit herewith copies of both of the executed Declarations and Powers of Attorney that were filed with the application. One of the declarations contains the signatures of two of the inventors, Michael J. Novosel and Vincent S. Fleszewski. The second declaration contains the signature of Kelly Boles which the Patent Office contends is missing from the declaration. Applicants submit that no fee is due for filing this submission since both declarations were filed with the application as evidenced by the attached copy of the date-stamped postcard and the Certificate of Filing by Express Mail.

If, however, any fees are due and owing for this application, Applicants authorize the Patent Office to charge Deposit Account No. 08-2290. ***A duplicate copy of this sheet is enclosed for this***

purpose.

Respectfully submitted,

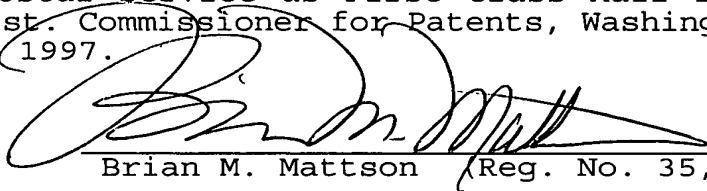


(Reg. No. 35,018)

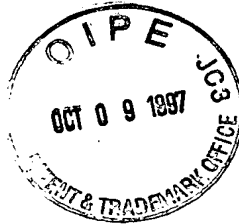
Brian M. Mattson
HILL, STEADMAN & SIMPSON
A Professional Corporation
85th Floor Sears Tower
Chicago, Illinois 60606
Telephone: (312) 876-0200 - Ext. 3165
Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope address to the Asst. Commissioner for Patents, Washington, D. C. 20231 on October 7, 1997.



Brian M. Mattson (Reg. No. 35,018)



EXPRESS MAIL # E1010534165US

HON. COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO
ACKNOWLEDGE RECEIPT OF THE ATTACHED: \$385.00 check,
Spec., 20 claims, abstract, declarations, 14 dwgs.,
Small Entity Declaration, This application is a
Novosel et al. C-I-P of U.S. SERIAL NO.

APPLICANT

LG/SO/SO

TYPE OF DOCUMENT(S)



8/289,257, filed Aug. 11, 1994

5/5/97

MAILING DATE

007150/80

010-6-1-9-1-9

Our Case No. P97,1046

REFERENCE NUMBER

1165

HILL, STEADMAN, & SIMPSON
A PROFESSIONAL CORPORATION

Form 20R



COPY

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number **EM010534185US**

Date of Deposit: May 5, 1997

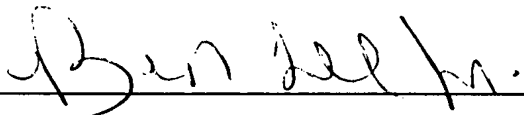
I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR. 1.10 on the date indicated above and is addressed to The Asst. Commissioner for Patents, Washington, D.C. 20231.

Our Case Number: P97,1046

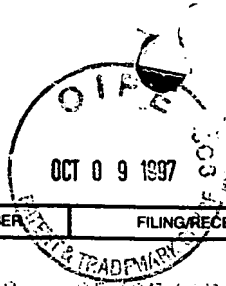
- ◆ **NOVOSEL et al.** Application for U.S. Patent entitled: "SOUND RECORDING AND REPRODUCTION SYSTEM"

(52 PAGES INCL. 1 PG. ABSTRACT; 14 SHEETS
DRAWINGS SHOWING FIGURES 1-20)

- ◆ 2 EXECUTED C-I-P DECLARATIONS AND POWER OF ATTORNEY (3 PAGES EACH)
- ◆ EXECUTED SMALL ENTITY DECLARATION
- ◆ Check FOR \$385.00 FILING FEE (20 CLAIMS, 1 IND. CLAIM)
- ◆ Postcard



Signature of person mailing documents and fees



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/351,200

05/05/97

NOVOSOL

M

PP2, 1046

0232/0927

HILL STEADMAN & SIMPSON
85TH FLOOR SEARS TOWER
233 SOUTH WACKER DRIVE
CHICAGO IL 60606

NOT ASSIGNED

DATE MAILED: 2308

09/27/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 65 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ large entity ☒ small entity (verified statement filed), is \$ 65.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

Inventor 3 (Kelly Bates) is missing

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER:

Enclosed is the New Filing Fees Packet.
Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202